

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK  
One Bowling Green  
New York, NY 10004-1408**

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IN RE: Fairfield Sentry Limited ( In Liquidation) et al v. CASE NO.: 10-03635-cgm  
Union Bancaire Privee, UBP SA et al

Social Security/Taxpayer ID/Employer ID/Other Nos.: CHAPTER: 0

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**NOTICE OF CASE REASSIGNMENT**

The above referenced case was reassigned to Judge Cecelia G. Morris on February 28, 2021 for administration.  
Please style all future captions with the appropriate judicial suffix (cgm ).

Dated: February 28, 2021

Vito Genna  
Clerk of the Court

Fairfield Sentry Limited ( In Liquidatio,  
Plaintiff  
Union Bancaire Privee, UBP SA,  
Defendant

Adv. Proc. No. 10-03635-cgm

## CERTIFICATE OF NOTICE

District/off: 0208-1

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The following symbols are used throughout this certificate:

Symbol	Definition
+	Addresses marked '+' were corrected by inserting the ZIP, adding the last four digits to complete the zip +4, or replacing an incorrect ZIP. USPS regulations require that automation-compatible mail display the correct ZIP.

Notice by first class mail was sent to the following persons/entities by the Bankruptcy Noticing Center on Mar 03, 2021:

Recip ID	Recipient Name and Address
aty	+ James L. Garrity, Jr., Morgan, Lewis & Bockius LLP, 101 Park Avenue, New York, NY 10178-0060
aty	+ Jascha D. Preuss, Wuersch & Gering LLP, 100 Wall Street, 10th Floor, New York,, NY 10005-3727
aty	+ Michael E. Wiles, Debevoise & Plimpton LLP, 919 3rd Avenue, New York, NY 10022-3916
smg	N.Y. State Unemployment Insurance Fund, P.O. Box 551, Albany, NY 12201-0551
smg	+ New York City Dept. Of Finance, Office of Legal Affairs, 375 Pearl Street, 30th Floor, New York, NY 10038-1442
smg	+ United States Attorney's Office, Southern District of New York, Attention: Tax & Bankruptcy Unit, 86 Chambers Street, Third Floor, New York, NY 10007-1825
dft	ABN AMRO Schweiz AG a/k/a ABN AMRO (Switzerland) A, Registered address, Beethovenstrasse 33, CH-8002, Zurich SWITZERLAND
dft	Adler and Co Privatbank AG, Registered address, Claridenstreasse 22, CH-8022, Zurich SWITZERLAND
dft	Alternative Investment Strategies, c/o Citco Bank Nederland NV, Telestone 8 - Teleport, Narritaweg 165, 1043BW Amsterdam, The Netherlands
dft	Banca Unione di Credito, c/o Citco Global Custody NV, c/o Citco Bank Nederland NV, Telestone 8 - Teleport, Narritaweg 165, 1043BW Amsterdam, The Netherlands
dft	Banque Cramer & Cie SA, 22 Avenue de Miremont, 1206 Geneve, Switzerland
dft	Barclays Bank PLC Singapore Wealth a/k/a Barclays, Registered Address, Level 28 One Raffles Quay, South Tower, Singapore 048583 SINGAPORE
dft	CBB (BVI)/The Alkima Found, C/o Fidelity Corporate Services, 2nd Floor Mill Mall Tower, Windhams Cay 1, Road Town, Tortola British Virgin Islands
dft	CBT Gems Low Vol Reg, One Montague Place 1st Floor, East Bay Street, P.O. Box N-1906, Nassau, Bahamas
dft	Dexia Banque International a Luxembourg, c/o Citco Bank Nederland NV, Telestone 8 - Teleport, Narritaweg 165, 1043 BW Amsterdam, The Netherlands
dft	HSBC, 8 Canary Square, Canary Wharf, London, UNITED KINGDOM
dft	Karasel Enhanced Portfolio, c/o Citco Global Custory N.V., c/o Citco Data Processing Services Ltd., 2600 Cork Airport Business Park, Kinsale Road Cork, Ireland
dft	Longboat Ltd., c/o Citco Bank Nederland NV, Telestone 8 - Teleport, Narritaweg 165, 1043BW Amsterdam The Netherlands
dft	Master Capital and Hedge Fund, c/o Citco Data Processing Services Ltd., 2600 Cork Airport Business Park, Kinsale Road, Cork, Ireland
dft	National Bank of Kuwait, P.O. Box 95 Safat, 13001 Kuwait, Abdullah Al Ahmad Street, Sharq STATE OF KUWAIT
dft	Quasar Funds SPC a/k/a Quasar Fund SPC Class A and, Registered Address, c/o Citco Fund Services Europe BV, Naritaweg 165, 1043 BW Amsterdam THE NETHERLANDS
dft	Rothschild Bank AG Zurich (Dublin) a/k/a Rothschild, Registered Address, Zollikerstrasse 181, CH-8034, Zurich SWITZERLAND
dft	Rothschild Lugano Dublin a/k/a Banca Privata Edmon, Registered Address, Via Ginevra 2, CH-6900, Lugano SWITZERLAND
dft	Sella Bank AG, c/o Citco Bank Nederland NV, Telestone 8 - Teleport, Narritaweg 165, 1043BW Amsterdam, The Netherlands
dft	Swisscanto FD Centre Clients A/C, c/o Citco Data Processing Services Ltd., 2600 Cork Airport Business Park, Kinsale Road, Cork, Ireland
5878019	+ Allianz Bank Financial Advisors SpA, c/o KOBRE & KIM LLP, 800 Third Avenue, New York, NY 10022-7775, Attn: Jonathan D. Cogan, Esq.
7085207	+ Dina E. Yavich, Pillsbury Winthrop Shaw Pittman LLP, 1540 Broadway, New York, NY 10036-4039
7085144	+ Eric Fishman, Pillsbury Winthrop Shaw Pittman LLP, 1540 Broadway, New York, NY 10036-4039
7077982	Martin Klotz, Willkie Farr & Gallagher LLP, 787 Seventh Avenue New York, NY 10019-60
7085204	+ Samuel S. Cavior, Pillsbury Winthrop Shaw Pittman LLP, 1540 Broadway, New York, NY 10036-4039

TOTAL: 30

Notice by electronic transmission was sent to the following persons/entities by the Bankruptcy Noticing Center.

Electronic transmission includes sending notices via email (Email/text and Email/PDF), and electronic data interchange (EDI). Electronic transmission is in Eastern Standard Time.

Recip ID	Notice Type: Email Address	Date/Time	Recipient Name and Address
smg	Email/Text: nys.dtf.bncnotice@tax.ny.gov	Mar 01 2021 23:24:00	New York State Tax Commission, Bankruptcy/Special Procedures Section, P.O. Box 5300, Albany, NY 12205-0300

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ust + Email/Text: ustregion02.br.ecf@usdoj.gov

Mar 01 2021 23:20:00

United States Trustee, Office of the United States  
Trustee, U.S. Federal Office Building, 201 Varick  
Street, Room 1006, New York, NY 10014-7016

TOTAL: 2

## BYPASSED RECIPIENTS

The following addresses were not sent this bankruptcy notice due to an undeliverable address, \*duplicate of an address listed above, \*P duplicate of a preferred address, or ## out of date forwarding orders with USPS.

Recip ID	Bypass Reason	Name and Address
dft		Adler and Co.
dft		Allianzbank SPA/Unifortune Conservative Side Pocke
dft		Arsenal SPC
dft		Arsenal SPC OBO Glasgow SEG Port
dft		BBH LUX Guardian II
dft		BBVA (Suisse) SA
dft		BCV AMC Defensive AI Fund
dft		BNP Paribas (Suisse) SA
dft		BNP Paribas (Suisse) SA Ex Fortis
dft		BNP Paribas (Suisse) SA Private
dft		BSI AG
dft		BSI Ex Banca Del Gottardo
dft		Banca Arner SA
dft		Bank Hapoalim Switzerland Ltd.
dft		Bank Julius Baer & Co. Ltd.
dft		Bank Sarasin & Cie
dft		Banque Cantonale Vaudoise
dft		Barclay's Bank PLC Singapore Wealth
dft		Caceis Bank Luxembourg
dft		Centrum Bank AG (AMS)
dft		Clariden Leu Ltd.
dft		Compagnie Bancaire Helvetique
dft		Corner Banca SA
dft		Credit Suisse AG
dft		Credit Suisse AG Zurich
dft		Defendants (as set forth in Appendix A to the Memo
dft		Dresdner Bank Schweiz
dft		EFG Bank (Monaco) f/k/a EFG Eurofinancier DInvest
dft		EFG Bank AG a/k/a EFG Bank SA Switzerland
dft		EFG Bank AG a/k/a EFG Bank SA Switzerland and EFG
dft		EFG Bank AG and EFG Bank (Monaco)
dft		EFG Bank SA Switzerland
dft		EFG Bank, EFG Bank (Monaco), EFG Bank (Gibraltar)
dft		EFG Eurofinancier DInvest MCL
dft		Endurance Absolute Ltd. Master
dft		FIF Advanced Ltd.
dft		Fairfield Investment Fund Ltd.
dft		Fairfield Investment GCI
dft		Fairfield Masters Ltd.
pla		Fairfield Sentry Limited ( In Liquidation)
pla		Fairfield Sigma Limited (In Liquidation)
dft		Falcon Private Bank
dft		Fidulex Management Inc.
dft		Finter Bank Zuirich
dft		Finter Bank Zurich
dft		Geneva Absolute Return Fund
dft		HSBC
dft		Harmony Capital Fund Ltd.
dft		IHAG Handelsbank AG
dft		Ihag Handelsbank AG
dft		Incore Bank AG
dft		KBC Investments Ltd.

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dft	Karla Multistrategies Ltd.
pla	Kenneth Kryz, solely in his capacity as Foreign Re
dft	LGT Bank (Switzerland) Ltd.
dft	LGT Bank in Liechtenstein AG
dft	LGT Bank in Liechtenstein AG
dft	Liechtensteinische LB Reinvest AMS
dft	Liechtensteinsche Landesbank AG
dft	Lloyds TSB Bank Geneva
dft	Lombard Odier Darier Hentsch & Cie
dft	NBK Banque Privee Suisse SA
dft	PKB Privatbank AG
dft	PKB Privatebank AG
dft	Pictet & Cie
dft	Privatbank AG
dft	Private Space Ltd.
dft	Quasar Funs SPC
dft	RBC Dexia Investor Service Julius Baer Sicav
dft	RBC Investor Services Bank, S.A.
dft	RBS Coutts Bank Ltd.
dft	Remand Defendants
dft	Richourt AAA Multistrategies
dft	Rothschild Bank Geneva (Dublin)
dft	Rothschild Lugano Dublin
dft	Rothschild Bank AG Zurich (Dublin)
dft	SIS Seeganintersettle
dft	Six SIS Ltd.
dft	Societe Generale Bank & Trust
dft	Soundview Fund
dft	T1 Global Fund Ltd.
dft	UBS AG New York
dft	UBS AG Zurich
dft	UBS Jersey Nominees
dft	Unifortune Conservative Side Pocket
dft	Union Bancaire Privee, UBP SA
dft	Verwaltungs und Privat-Bank AG Aktiengesellschaft
dft	Verwaltungs- und Privat-Bank AG Aktiengesellschaft
dft	Vorarlberger Landes Und Hypothekenbank Ankiengesel
dft	the Defendants listed on Exhibit A to the HSBC Def
5595658	Switzerland and EFG Bank (Monaco) f/k/a EFG Eurofi

TOTAL: 91 Undeliverable, 0 Duplicate, 0 Out of date forwarding address

## NOTICE CERTIFICATION

**I, Joseph Speetjens, declare under the penalty of perjury that I have sent the attached document to the above listed entities in the manner shown, and prepared the Certificate of Notice and that it is true and correct to the best of my information and belief.**

**Meeting of Creditor Notices only (Official Form 309): Pursuant to Fed .R. Bank. P.2002(a)(1), a notice containing the complete Social Security Number (SSN) of the debtor(s) was furnished to all parties listed. This official court copy contains the redacted SSN as required by the bankruptcy rules and the Judiciary's privacy policies.**

Date: Mar 03, 2021

Signature: /s/Joseph Speetjens

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## CM/ECF NOTICE OF ELECTRONIC FILING

**The following persons/entities were sent notice through the court's CM/ECF electronic mail (Email) system on February 28, 2021 at the address(es) listed below:**

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Name	Email Address
Adam Lavine	on behalf of Defendant Banca Unione di Credito adam.lavine@kobrekim.com
Adam Lavine	on behalf of Defendant EFG Bank (Monaco) f/k/a EFG Eurofinancier DInvest MCL adam.lavine@kobrekim.com
Adam Lavine	on behalf of Defendant BSI AG adam.lavine@kobrekim.com
Adam Lavine	on behalf of Defendant EFG Bank AG a/k/a EFG Bank SA Switzerland adam.lavine@kobrekim.com
Adam Lavine	on behalf of Defendant BSI Ex Banca Del Gottardo adam.lavine@kobrekim.com
Alexander Lees	on behalf of Defendant Dresdner Bank Schweiz alees@milbank.com alexander-lees-2212@ecf.pacerpro.com;AutoDocketECF@milbank.com
Andreas A. Frischknecht	on behalf of Defendant SIS Seeganintersettle andreas.frischknecht@chaffetzlindsey.com dockets@chaffetzlindsey.com
Andreas A. Frischknecht	on behalf of Defendant Six SIS Ltd. andreas.frischknecht@chaffetzlindsey.com dockets@chaffetzlindsey.com
Andrew John Finn	on behalf of Defendant Bank Sarasin & Cie finna@sullcrom.com s&cmanagingclerk@sullcrom.com;andrew-finn-6914@ecf.pacerpro.com
Andrew M. Troop	on behalf of Defendant Falcon Private Bank andrew.troop@pillsburylaw.com
Andrew M. Troop	on behalf of Defendant Incore Bank AG andrew.troop@pillsburylaw.com
Anthony L. Paccione	on behalf of Defendant RBC Investor Services Bank S.A. anthony.paccione@kattenlaw.com, mark.ciani@kattenlaw.com
Anthony L. Paccione	on behalf of Defendant RBS Coutts Bank Ltd. anthony.paccione@kattenlaw.com mark.ciani@kattenlaw.com
Anthony L. Paccione	on behalf of Defendant RBC Dexia Investor Service Julius Baer Sicav anthony.paccione@kattenlaw.com mark.ciani@kattenlaw.com
Anthony L. Paccione	on behalf of Defendant BBH LUX Guardian II anthony.paccione@kattenlaw.com mark.ciani@kattenlaw.com
Anthony L. Paccione	on behalf of Defendant Harmony Capital Fund Ltd. anthony.paccione@kattenlaw.com mark.ciani@kattenlaw.com
Anthony L. Paccione	on behalf of Defendant Lloyds TSB Bank Geneva anthony.paccione@kattenlaw.com mark.ciani@kattenlaw.com
Ari MacKinnon	on behalf of Defendant BNP Paribas (Suisse) SA Ex Fortis amackinnon@cgsh.com maofiling@cgsh.com
Ari MacKinnon	on behalf of Defendant BNP Paribas (Suisse) SA amackinnon@cgsh.com maofiling@cgsh.com
Ari MacKinnon	on behalf of Defendant BNP Paribas (Suisse) SA Private amackinnon@cgsh.com maofiling@cgsh.com
Benjamin Beller	on behalf of Defendant Caceis Bank Luxembourg bbeller@cgsh.com
Bianca Lin	on behalf of Defendant Compagnie Bancaire Helvetique BLin@abv.com
Bianca Lin	on behalf of Defendant Rothschild Bank AG Zurich (Dublin) a/k/a Rothschild Bank AG BLin@abv.com
Bianca Lin	on behalf of Defendant Societe Generale Bank & Trust BLin@abv.com
Bianca Lin	on behalf of Defendant Banque Cantonale Vaudoise BLin@abv.com
Bianca Lin	on behalf of Defendant Rothschild Lugano Dublin BLin@abv.com
Bianca Lin	

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on behalf of Defendant Rothschild Lugano Dublin a/k/a Banca Privata Edmond de Rothschild Lugano S.A. BLin@abv.com

Bianca Lin

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Bianca Lin

on behalf of Defendant Lombard Odier Darier Hentsch & Cie BLin@abv.com

Bianca Lin

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Breon Peace

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Breon Peace

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Breon Peace

on behalf of Defendant BNP Paribas (Suisse) SA Ex Fortis bpeace@cgsh.com maofiling@cgsh.com

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Charles Collier Platt

on behalf of Defendant Corner Banca SA Charles.Platt@wilmerhale.com

Charles Collier Platt

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Charles Collier Platt

on behalf of Defendant BSI Ex Banca Del Gottardo Charles.Platt@wilmerhale.com

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on behalf of Defendant BSI AG Charles.Platt@wilmerhale.com

Charles Collier Platt

on behalf of Defendant Finter Bank Zuirich Charles.Platt@wilmerhale.com

Charles Collier Platt

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Christopher Allegaert

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Christopher Allegaert

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Christopher Allegaert

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Christopher Allegaert

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Christopher Allegaert

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Christopher Harris

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christopher-harris-4147@ecf.pacerpro.com,new-york-ma-2860@ecf.pacerpro.com,ny-courtmail@lw.com

Christopher Harris

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christopher-harris-4147@ecf.pacerpro.com,new-york-ma-2860@ecf.pacerpro.com,ny-courtmail@lw.com

Christopher Harris

on behalf of Interested Party ABN AMRO Bank N.V. f/k/a Fortis Bank (Nederland) N.V. Christopher.harris@lw.com

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Christopher Harris

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Christopher Harris

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Christopher R. Donoho, III

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Daniel Shamah

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Daniel Shamah

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Daniel Shamah

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David Elsberg

on behalf of Plaintiff Kenneth Kryz solely in his capacity as Foreign Representative and Liquidator thereof  
delsberg@selendygay.com,  
paralegals@selendygay.com;edockets@selendygay.com;MCO@selendygay.com;david-elsberg-9556@ecf.pacerpro.com

David Elsberg

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David Farrington Yates

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David Farrington Yates

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David Farrington Yates

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David M. Morris

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Dong Ni Xu	on behalf of Defendant EFG Bank (Monaco) f/k/a EFG Eurofinancier DInvest MCL donna.xu@kobrekim.com
Dong Ni Xu	on behalf of Defendant EFG Bank AG a/k/a EFG Bank SA Switzerland donna.xu@kobrekim.com
Dong Ni Xu	on behalf of Defendant Banca Unione di Credito donna.xu@kobrekim.com
Dong Ni Xu	on behalf of Defendant BSI Ex Banca Del Gottardo donna.xu@kobrekim.com
Dorothy Heyl	on behalf of Defendant Dresdner Bank Schweiz dheyl@milbank.com
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Elizabeth A O'Connor	on behalf of Defendant Rothschild Bank AG Zurich (Dublin) a/k/a Rothschild Bank AG eoconnor@fzwz.com
Elizabeth A O'Connor	on behalf of Defendant Rothschild Bank AG Zurich (Dublin) eoconnor@fzwz.com
Elizabeth A O'Connor	on behalf of Defendant Rothschild Bank Geneva (Dublin) eoconnor@fzwz.com
Elizabeth A O'Connor	on behalf of Defendant Societe Generale Bank & Trust eoconnor@fzwz.com
Elizabeth A O'Connor	on behalf of Defendant Rothschild Lugano Dublin eoconnor@fzwz.com
Elizabeth A O'Connor	on behalf of Defendant BCV AMC Defensive AI Fund eoconnor@fzwz.com
Elizabeth A O'Connor	on behalf of Defendant Banque Cantonale Vaudoise eoconnor@fzwz.com
Elizabeth A O'Connor	on behalf of Defendant Rothschild Lugano Dublin a/k/a Banca Privata Edmond de Rothschild Lugano S.A. eoconnor@fzwz.com
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Eric Fishman	on behalf of Defendant Falcon Private Bank nydocket@pillsburylaw.com
Eric B. Halper	on behalf of Defendant Bank Julius Baer & Co. Ltd. ehalper@mckoolsmith.com
Erin E Valentine	on behalf of Defendant Six SIS Ltd. erin.valentine@chaffetzlindsey.com dockets@chaffetzlindsey.com
Erin E Valentine	on behalf of Defendant SIS Seeganintersettle erin.valentine@chaffetzlindsey.com dockets@chaffetzlindsey.com
Evan A. Davis	on behalf of Defendant BNP Paribas (Suisse) SA Ex Fortis edavis@cgsh.com maofiling@cgsh.com
Evan A. Davis	on behalf of Defendant BNP Paribas (Suisse) SA edavis@cgsh.com maofiling@cgsh.com
Evan A. Davis	on behalf of Defendant BNP Paribas (Suisse) SA Private edavis@cgsh.com maofiling@cgsh.com
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Fletcher W. Strong	on behalf of Defendant Fairfield Investment GCI fstrong@wmd-law.com
Fletcher W. Strong	on behalf of Defendant Fairfield Investment Fund Ltd. fstrong@wmd-law.com



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